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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

RCE
afw

**Kazuyoshi NAMBA REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant
application filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/940,855
Filing Date	August 29, 2001
Examiner Name	M. Spisich
First Named Inventor	Kazuyoshi NAMBA
Group Art Unit	1744
Attorney Docket Number	33082 M 100

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.
NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____.
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on ____.
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$900 is enclosed (\$790 RCE Filing Fee + \$110 (1) mo. extension fee).
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Michael A. Makuch	Registration No. (Attorney/Agent)	32,263
Signature		Date	October 8, 2004

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print /Type)	
Signature	Date

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Attorney Docket :
033082 M 100

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Kazuyoshi NAMBA

Serial No.: 09/940,855

Filed: August 29, 2001

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Group Art Unit: 1744

Examiner: M. Spisich

For : SUBSTRATE CLEANING APPARATUS AND SUBSTRATE
CLEANING METHOD

AMENDMENT SUBMITTED WITH RCE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A reply to the Office Action filed June 9, 2004 was due by September 9, 2004. A Petition for Extension of Time (one month, large entity) is enclosed, extending the date to October 11, 2004 (October 9, 2004 being a Saturday). Therefore, please accept this Amendment and accompanying Request for Continuing Examination as timely filed.

In response to the Office Action dated the Office Action filed June 9, 2004, kindly amend the above-identified patent application as follows: